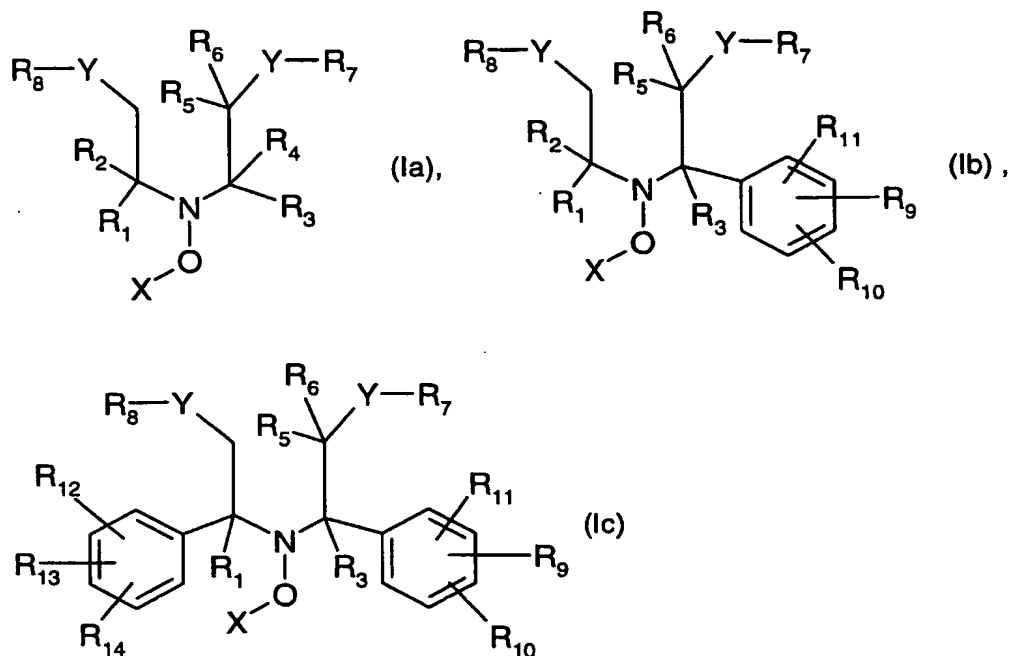


Claims

1. A compound of formula Ia, Ib, or Ic



wherein

Y is O or NR₁₀₁ and R₁₀₁ is H or C₁-C₁₈alkyl or R₇ and/or R₈ and R₁₀₁ together with the nitrogen atom to which they are bound form a 5 or 6 membered heterocyclic ring;

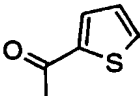
R₁, R₂ and R₃ independently are benzyl, C₁-C₁₈alkyl, C₂-C₁₈alkenyl which are unsubstituted or substituted by OH or by a group -O-C(O)-R₁₀₂; or C₂-C₁₈alkyl which is interrupted by at least one O atom or a group NR₁₀₂ wherein R₁₀₂ is hydrogen, C₁-C₁₈alkyl or C₆-C₁₀aryl;

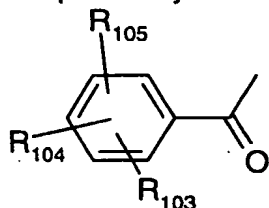
or R₁ and R₂ together with the carbon atom to which they are bound form a C₅-C₁₂cycloalkyl group; or in formula Ia R₃ and R₄ together with the carbon atom to which they are bound form a C₅-C₁₂cycloalkyl group;

R₄ is C₂-C₁₂alkyl;

R₅ and R₆ are independently H, C₁-C₁₈alkyl, C₂-C₁₈alkenyl, benzyl, C₅-C₁₂cycloalkyl or phenyl;

R₇ and R₈ independently are H, C₁-C₁₈alkyl, C₂-C₁₈alkenyl, C₅-C₁₂cycloalkyl or a group

-C(O)-(C₁-C₁₈)alkyl, -C(O)-O-(C₁-C₁₈)alkyl, -C(O)-O-phenyl, -C(O)-C(O)-OH, -C(O)-C(O)-NH-(C₁-C₁₈)alkyl, -C(S)-S-(C₁-C₁₈)alkyl, , -SiR_aR_bR_c wherein R_a, R_b, R_c independently are C₁-C₁₈alkyl or R₇ and R₈ are the following group

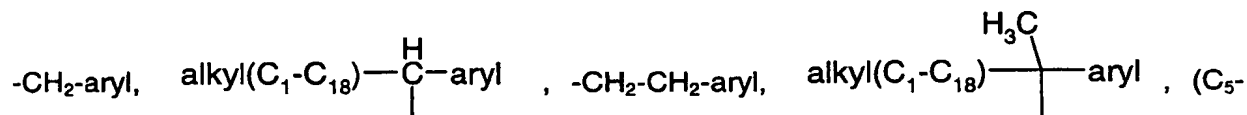


wherein R₁₀₃, R₁₀₄ and R₁₀₅ independently are H, C₁-C₈alkyl, C₁-

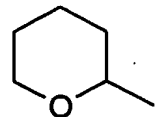
C₈alkoxy, C₁-C₈alkylthio, -O-C(O)-(C₁-C₈)alkyl, -O-C(O)-(C₆-C₁₀)aryl, nitro, cyano or halogen;

R₉, R₁₀, R₁₁, R₁₂, R₁₃ and R₁₄ independently are H, OH, C₁-C₈alkoxy, C₁-C₈alkyl, SH, C₁-C₈alkylthio,

-O-C(O)-(C₁-C₈)alkyl, -O-C(O)-(C₆-C₁₀)aryl, nitro, cyano, halogen or a group NR₁₀₆R₁₀₇ wherein R₁₀₆ and R₁₀₇ independently are hydrogen, C₁-C₁₈alkyl or C₆-C₁₀aryl or together with the nitrogen atom to which they are bound form a 5 or 6 membered heterocyclic ring; and X is selected from the group consisting of



C₆cycloalkyl)₂CCN, (C₁-C₁₂alkyl)₂CCN, -CH₂CH=CH₂, (C₁-C₁₂)alkyl-CR₂₀-C(O)-(C₁-C₁₂)alkyl, (C₁-C₁₂)alkyl-CR₂₀-C(O)-(C₆-C₁₀)aryl, (C₁-C₁₂)alkyl-CR₂₀-C(O)-O-R₂₁, (C₁-C₁₂)alkyl-CR₂₀-C(O)-phenoxy, (C₁-C₁₂)alkyl-CR₂₀-C(O)-N-di(C₁-C₁₂)alkyl, (C₁-C₁₂)alkyl-CR₂₀-CO-NH(C₁-C₁₂)alkyl, (C₁-C₁₂)alkyl-CR₂₀-CO-NH₂, -CH₂CH=CH-CH₃, -CH₂-C(CH₃)=CH₂, -CH₂-CH=CH-

phenyl, -CH₂-C≡CH, 3-cyclohexenyl, 3-cyclopentenyl, ,



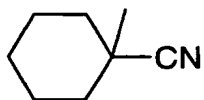
R₂₀ is hydrogen or C₁-C₁₂alkyl;

R_{21} is C_1 - C_{18} alkyl or C_2 - C_{18} alkyl which is interrupted by at least one O atom or a group NR_{102} wherein R_{102} is hydrogen, C_1 - C_{18} alkyl or C_6 - C_{10} aryl;

the alkyl groups are unsubstituted or substituted with one or more -OH, -COOH, -O(C_1 - C_8 alkyl), $NR_{106}R_{107}$ or -COR₂₀ groups wherein R_{20} , R_{106} and R_{107} have the meanings as defined above;

the aryl groups are phenyl or naphthyl which are unsubstituted or substituted with C_1 - C_{12} alkyl, halogen, C_1 - C_{12} alkoxy, C_1 - C_{12} alkylthio, C_1 - C_{12} alkylcarbonyl, glycidyloxy, OH, SH, -COOH or -COO(C_1 - C_{12})alkyl.

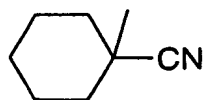
2. A compound according to claim 1 wherein X is selected from the group consisting of -CH₂-phenyl, CH₃CH-phenyl, (CH₃)₂C-phenyl, (C_5 - C_6 cycloalkyl)₂CCN, (CH₃)₂CCN,



, -CH₂CH=CH₂, CH₃CH-CH=CH₂, (C_1 - C_8 alkyl)CR₂₀-C(O)-phenyl, (C_1 - C_8)alkyl-CR₂₀-C(O)-(C_1 - C_8)alkoxy, (C_1 - C_8)alkyl-CR₂₀-C(O)-(C_1 - C_8)alkyl, (C_1 - C_8)alkyl-CR₂₀-C(O)-N-di(C_1 - C_8)alkyl, (C_1 - C_8)alkyl-CR₂₀-C(O)-NH(C_1 - C_8)alkyl and (C_1 - C_8)alkyl-CR₂₀-C(O)-NH₂, wherein

R_{20} is hydrogen or (C_1 - C_8)alkyl.

3. A compound according to claim 2 wherein X is selected from the group consisting of -CH₂-phenyl, CH₃CH-phenyl, (CH₃)₂C-phenyl, (C_5 - C_6 cycloalkyl)₂CCN, (CH₃)₂CCN,



, -CH₂CH=CH₂, CH₃CH-CH=CH₂, (C_1 - C_4 alkyl)CR₂₀-C(O)-phenyl, (C_1 - C_4)alkyl-CR₂₀-C(O)-(C_1 - C_4)alkoxy, (C_1 - C_4)alkyl-CR₂₀-C(O)-(C_1 - C_4)alkyl, (C_1 - C_4)alkyl-CR₂₀-C(O)-N-di(C_1 - C_4)alkyl, (C_1 - C_4)alkyl-CR₂₀-C(O)-NH(C_1 - C_4)alkyl and (C_1 - C_4)alkyl-CR₂₀-C(O)-NH₂, wherein

R_{20} is hydrogen or (C_1 - C_4)alkyl.

4. A compound according to claim 1 wherein Y is O and wherein in formula Ia R_4 is C_2 - C_6 alkyl or R_3 and R_4 together with the carbon atom to which they are bound form a 5 to 7 membered cycloalkyl ring.

5. A compound according to claim 1 wherein

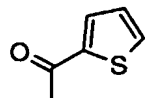
R_1 , R_2 and R_3 are C_1 - C_5 alkyl; or in formula Ia R_3 and R_4 together with the carbon atom to which they are bound form a C_5 - C_6 cycloalkyl group;

R_4 is C_2 - C_6 alkyl;

R_5 and R_6 are H;

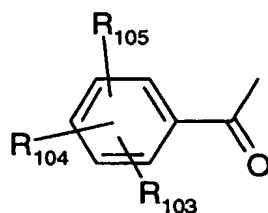
R_7 and R_8 independently are H, C_1 - C_{18} alkyl, allyl, benzyl, C_5 - C_{12} cycloalkyl or a group

$-C(O)-(C_1-C_{18})$ alkyl, $-C(O)-O-(C_1-C_{18})$ alkyl, $-C(O)-C(O)-OH$, $-C(S)-S-(C_1-C_{18})$ alkyl,



, $-SiR_aR_bR_c$ wherein R_a , R_b , R_c independently are C_1 - C_{18} alkyl or R_7 and R_8 are

one of the following groups



wherein R_{103} , R_{104} and R_{105} independently

are H, C_1 - C_8 alkoxy, C_1 - C_8 alkylthio, $-O-C(O)-(C_1-C_8)$ alkyl, nitro, cyano, halogen, C_1 - C_8 alkyl;

R_9 , R_{10} and R_{11} independently are H, C_1 - C_8 alkoxy, C_1 - C_8 alkylthio, $-O-C(O)-(C_1-C_8)$ alkyl, nitro, cyano, halogen or C_1 - C_8 alkyl; and

X is as defined in claim 1.

6. A compound of formula Ib or Ic according to claim 1.

7. A compound of formula Ib according to claim 1 wherein

Y is O;

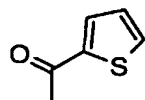
R_1 and R_2 are C_1 - C_5 alkyl, or together with the carbon atom to which they are bound form a C_5 - C_7 cycloalkyl group;

R_3 is methyl, ethyl or propyl;

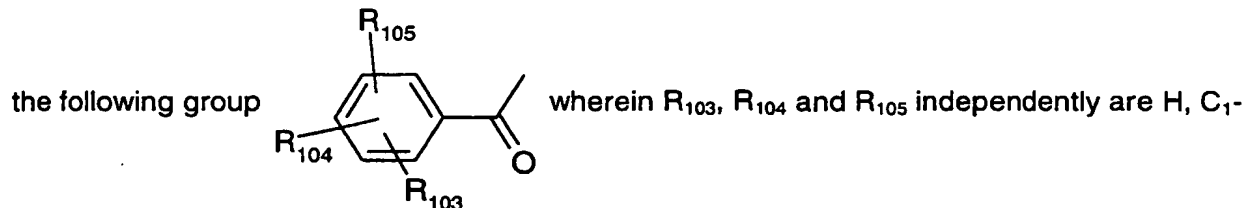
R_5 and R_6 are H;

R_7 and R_8 independently are H, C_1 - C_{18} alkyl, allyl, benzyl, C_5 - C_{12} cycloalkyl or a group

$-C(O)-(C_1-C_{18})$ alkyl, $-C(O)-O-(C_1-C_{18})$ alkyl, $-C(O)-C(O)-OH$, $-C(S)-S-(C_1-C_{18})$ alkyl,



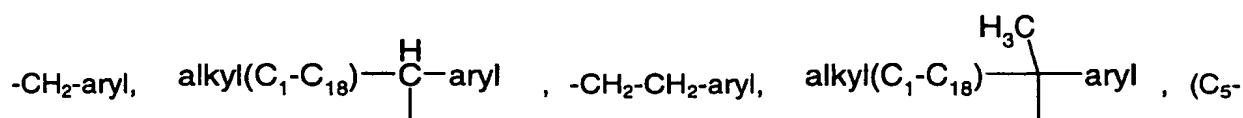
, $-SiR_aR_bR_c$ wherein R_a , R_b , R_c independently are C_1 - C_{18} alkyl or R_7 and R_8 are



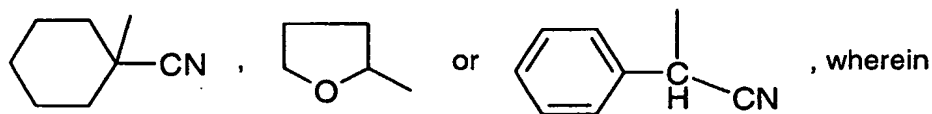
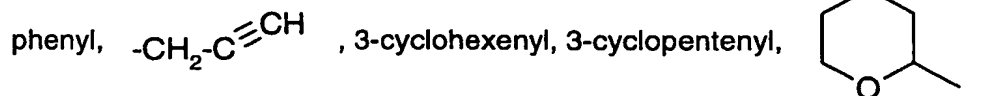
C_8 alkoxy, C_1 - C_8 alkylthio, $-O-C(O)-(C_1-C_8)alkyl$, nitro, cyano, halogen, C_1 - C_8 alkyl;

R_9 , R_{10} and R_{11} independently are H, C_1 - C_8 alkoxy, C_1 - C_8 alkylthio, $-O-C(O)-(C_1-C_8)alkyl$, nitro, cyano, halogen or C_1 - C_8 alkyl; and

X is selected from the group consisting of



C_6 cycloalkyl) $_2CCN$, $(C_1-C_{12}alkyl)_2CCN$, $-CH_2CH=CH_2$, $(C_1-C_{12})alkyl-CR_{20}-C(O)-(C_1-C_{12})alkyl$, $(C_1-C_{12})alkyl-CR_{20}-C(O)-(C_6-C_{10})aryl$, $(C_1-C_{12})alkyl-CR_{20}-C(O)-O-R_{21}$, $(C_1-C_{12})alkyl-CR_{20}-C(O)-phenoxy$, $(C_1-C_{12})alkyl-CR_{20}-C(O)-N-di(C_1-C_{12})alkyl$, $(C_1-C_{12})alkyl-CR_{20}-CO-NH(C_1-C_{12})alkyl$, $(C_1-C_{12})alkyl-CR_{20}-CO-NH_2$, $-CH_2CH=CH-CH_3$, $-CH_2-C(CH_3)=CH_2$, $-CH_2-CH=CH-$



R_{20} is hydrogen or C_1 - C_{12} alkyl;

R_{21} is C_1 - C_{18} alkyl or C_2 - C_{18} alkyl which is interrupted by at least one O atom or a group NR_{102} wherein R_{102} is hydrogen, C_1 - C_{18} alkyl or C_6 - C_{10} aryl;

the alkyl groups are unsubstituted or substituted with one or more $-OH$, $-COOH$, $-O(C_1-C_8)alkyl$, $NR_{106}R_{107}$ or $-COR_{20}$ groups wherein R_{20} , R_{106} and R_{107} have the meanings as defined above;

the aryl groups are phenyl or naphthyl which are unsubstituted or substituted with C_1 - C_{12} alkyl, halogen, C_1 - C_{12} alkoxy, C_1 - C_{12} alkylcarbonyl, glycidyloxy, OH , $-COOH$ or $-COO(C_1-C_{12})alkyl$.

8. A polymerizable composition, comprising

- a) at least one ethylenically unsaturated monomer or oligomer, and
- b) a compound according to formula (Ia) (Ib) or (Ic) according to claim 1.

9. A process for preparing an oligomer, a cooligomer, a polymer or a copolymer (block or random) by free radical polymerization of at least one ethylenically unsaturated monomer or oligomer, which comprises (co)polymerizing the monomer or monomers/oligomers in the presence of an initiator compound of formula (Ia), (Ib) or (Ic) according to claim 1 under reaction conditions capable of effecting scission of the O-X bond to form two free radicals, the radical $\bullet X$ being capable of initiating polymerization.

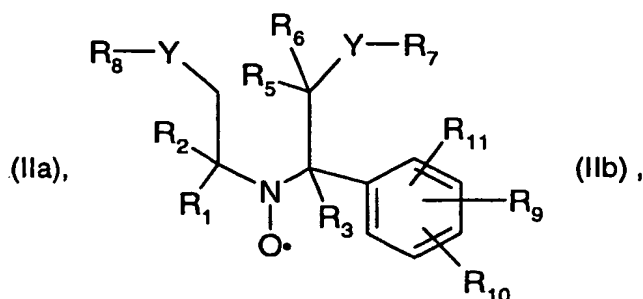
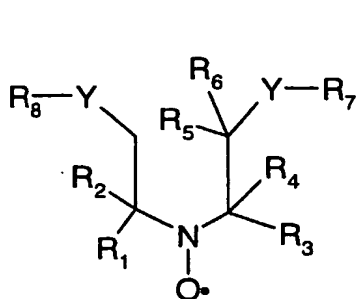
10. A process according to claim 9 wherein the scission of the O-X bond is effected by ultrasonic treatment, heating or exposure to electromagnetic radiation, ranging from γ to microwaves.

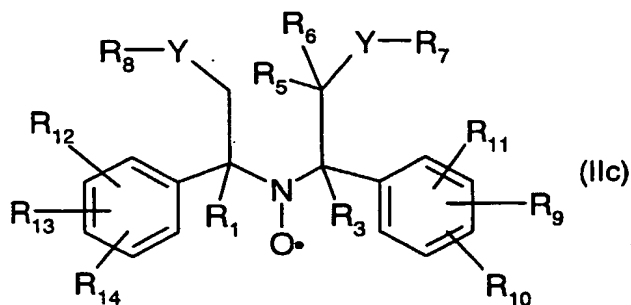
11. A process according to claim 10 wherein the scission of the O-X bond is effected by heating and takes place at a temperature of between 50°C and 160°C.

12. A process according to claim 9 wherein the compound is present in an amount from 0.001 mol-% to 20 mol-%, based on the monomer or monomer mixture.

13. A polymerizable composition, comprising

- a) at least one ethylenically unsaturated monomer or oligomer, and
- b) a compound according to formula (IIa) (IIb) or (IIc)





wherein

Y is O or NR₁₀₁ and R₁₀₁ is H or C₁-C₁₈alkyl or R₇ and/or R₈ and R₁₀₁ together with the nitrogen atom to which they are bound form a 5 or 6 membered heterocyclic ring;

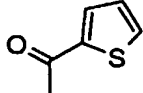
R₁, R₂ and R₃ independently are benzyl, C₁-C₁₈alkyl, C₂-C₁₈alkenyl which are unsubstituted or substituted by OH or a by group -O-C(O)-R₁₀₂; or C₂-C₁₈alkyl which is interrupted by at least one O atom or a group NR₁₀₂ wherein R₁₀₂ is hydrogen, C₁-C₁₈alkyl or C₆-C₁₀aryl;

or R₁ and R₂ together with the carbon atom to which they are bound form a C₅-C₁₂cycloalkyl group; or in formula Ia R₃ and R₄ together with the carbon atom to which they are bound form a C₅-C₁₂cycloalkyl group;

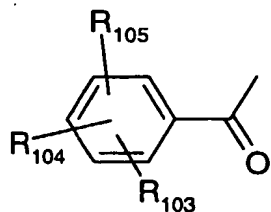
R₄ is C₂-C₁₂alkyl;

R₅ and R₆ are independently H, C₁-C₁₈alkyl, C₂-C₁₈alkenyl, benzyl, C₅-C₁₂cycloalkyl or phenyl;

R₇ and R₈ independently are H, C₁-C₁₈alkyl, C₂-C₁₈alkenyl, C₅-C₁₂cycloalkyl or a group -C(O)-(C₁-C₁₈)alkyl, -C(O)-O-(C₁-C₁₈)alkyl, -C(O)-O-phenyl, -C(O)-C(O)-OH, -C(O)-C(O)-NH-

(C₁-C₁₈alkyl), -C(S)-S-(C₁-C₁₈)alkyl, , -SiR_aR_bR_c wherein R_a, R_b, R_c

independently are C₁-C₁₈alkyl or R₇ and R₈ are the following group



wherein R₁₀₃, R₁₀₄ and R₁₀₅ independently are H, C₁-C₈alkyl, C₁-

C₈alkoxy, C₁-C₈alkylthio, -O-C(O)-(C₁-C₈)alkyl, -O-C(O)-(C₆-C₁₀)aryl, nitro, cyano or halogen;

R₉, R₁₀, R₁₁, R₁₂, R₁₃ and R₁₄ independently are H, OH, C₁-C₈alkoxy, C₁-C₈alkyl, SH, C₁-C₈alkylthio,

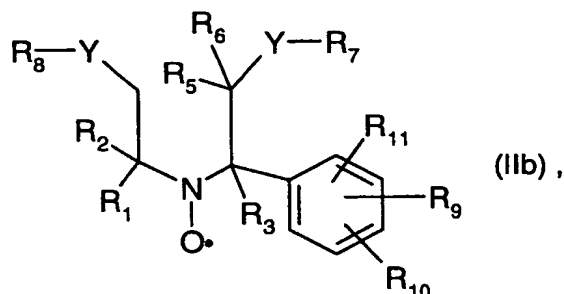
-O-C(O)-(C₁-C₈)alkyl, -O-C(O)-(C₆-C₁₀)aryl, nitro, cyano, halogen or a group NR₁₀₆R₁₀₇ wherein R₁₀₆ and R₁₀₇ independently are hydrogen, C₁-C₁₈alkyl or C₆-C₁₀aryl or together with the nitrogen atom to which they are bound form a 5 or 6 membered heterocyclic ring;

and

c) a source of free radicals capable of initiating polymerization of ethylenically unsaturated monomers.

14. A process for preparing an oligomer, a cooligomer, a polymer or a copolymer (block or random) by free radical polymerization of at least one ethylenically unsaturated monomer/oligomer, which comprises subjecting the composition according to claim 13 to heat or actinic radiation.

15. A compound of formula IIb



wherein

Y is O or NR₁₀₁ and R₁₀₁ is H or C₁-C₁₈alkyl or R₇ and R₁₀₁ together with the nitrogen atom to which they are bound form a 5 or 6 membered heterocyclic ring;

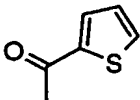
R₁, R₂ and R₃ independently are benzyl, C₁-C₁₈alkyl, C₂-C₁₈alkenyl which are unsubstituted or substituted by OH or a group -O-C(O)-R₁₀₂; or C₂-C₁₈alkyl which is interrupted by at least one O atom or a group NR₁₀₂ wherein R₁₀₂ is hydrogen, C₁-C₁₈alkyl or C₆-C₁₀aryl;

or R₁ and R₂ together with the carbon atom to which they are bound form a C₅-C₁₂cycloalkyl group;

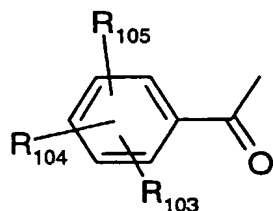
R₅ and R₆ are independently H, C₁-C₁₈alkyl, C₂-C₁₈alkenyl, benzyl, C₅-C₁₂cycloalkyl or phenyl;

R₇ and R₈ independently are H, C₁-C₁₈alkyl, C₂-C₁₈alkenyl, C₅-C₁₂cycloalkyl or a group

-C(O)-(C₁-C₁₈)alkyl, -C(O)-O-(C₁-C₁₈)alkyl, -C(O)-O-phenyl, -C(O)-C(O)-OH, -C(O)-C(O)-NH-

(C₁-C₁₈)alkyl), -C(S)-S-(C₁-C₁₈)alkyl,  , -SiR_aR_bR_c wherein R_a , R_b , R_c

independently are C₁-C₁₈alkyl or R₇ and R₈ are one of the following groups



wherein R₁₀₃, R₁₀₄ and R₁₀₅ independently are H, C₁-C₈alkyl, C₁-

C₈alkoxy, C₁-C₈alkylthio, -O-C(O)-(C₁-C₈)alkyl, -O-C(O)-(C₆-C₁₀)aryl, nitro, cyano or halogen;

R₉, R₁₀ and R₁₁ independently are H, OH, C₁-C₈alkoxy, C₁-C₈alkyl, SH, C₁-C₈alkylthio, -O-C(O)-(C₁-C₈)alkyl, -O-C(O)-(C₆-C₁₀)aryl, nitro, cyano, halogen or a group NR₁₀₆R₁₀₇ wherein R₁₀₆ and R₁₀₇ independently are hydrogen, C₁-C₁₈alkyl or C₆-C₁₀aryl or together with the nitrogen atom to which they are bound form a 5 or 6 membered heterocyclic ring.

16. A compound of formula IIb according to claim 15 wherein

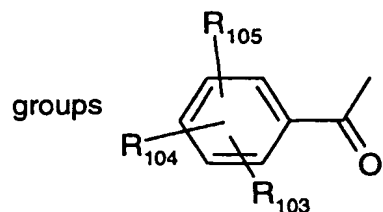
Y is O;

R₁ and R₂ are -CH₃, or together with the carbon atom to which they are bound form a C₅-C₇cycloalkyl group;

R₃ is methyl, ethyl or propyl;

R₅ and R₆ are H;

R₇ and R₈ independently are H, C₁-C₁₈alkyl, allyl, benzyl, C₅-C₁₂cycloalkyl or a group -C(O)-(C₁-C₁₈)alkyl, -C(O)-O-(C₁-C₁₈)alkyl, -C(O)-C(O)-OH, -C(S)-S-(C₁-C₁₈)alkyl, -SiR_aR_bR_c wherein R_a , R_b , R_c independently are C₁-C₁₈alkyl or R₇ and R₈ are one of the following



groups

wherein R₁₀₃, R₁₀₄ and R₁₀₅ independently are H, C₁-C₈alkoxy,

C₁-C₈alkylthio, -O-C(O)-(C₁-C₈)alkyl, nitro, cyano, halogen, C₁-C₈alkyl;

and

R₉, R₁₀ and R₁₁ independently are H, C₁-C₈alkoxy, C₁-C₈alkylthio, -O-C(O)-(C₁-C₈)alkyl, nitro, cyano, halogen or C₁-C₈alkyl.

17. Use of a compound of formula Ia, Ib or Ic according to claim 1 for the polymerization of ethylenically unsaturated monomers.

18. Use of a compound of formula IIa, IIb or IIc according to claim 13 together with a source of free radicals for the polymerization of ethylenically unsaturated monomers.

19. A polymer or copolymer obtainable by a process according to claim 9 or 14.